SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Asbury Mark			2. Date of Event Requiring State Month/Day/Yea 02/05/2019	ment	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Allakos Inc.</u> [ ALLK ]					
(Last) (First) (Middle) 975 ISLAND DRIVE, SUITE 201				4. Relationship of Reporting Perso (Check all applicable) Director	on(s) to Issue 10% Owne	(Mo	5. If Amendment, Date of Original Filed (Month/Day/Year)			
(Street) REDWOOD CITY (City)	CA (State)	94065 (Zip)			X Officer (give title X below) X Chief Legal Officer / Ge	Other (spe below) neral Coun	App	licable Line)	t/Group Filing (Check y One Reporting Person y More than One erson	
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5)		t (D)   (Inst	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratior Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Option (	Right to buy)		(1)	11/07/2019	Common Stock	120,000	57.3	D		
Explanation of Responses:										

1. Mr. Asbury was granted options to purchase 120,000 shares of common stock on November 7, 2018, of which 25% will vest on November 7, 2019, the first anniversary of the vesting commencement date, with the remaining 75% of the options vesting monthly in substantially equal installments over the following 36 months, subject to Mr. Asbury's continued services to the Company through each vesting date. The options will terminate on the tenth anniversary of the date of grant, unless otherwise previously terminated pursuant to the terms of the option agreement accompanying the grant.

<u>/s/ Mark Asbury</u>

02/14/2019

\*\* Signature of Reporting Person Date

^^ Sig

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.