SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br><u>Fitzgerald Margaret Nell</u> | 2. Date of<br>Requiring<br>(Month/Da<br>04/19/20               | Statement<br>y/Year) | 3. Issuer Name <b>and</b> Ticker of <u>Allakos Inc.</u> [ ALLK               | 0   | Symbol             |      |   |  |
|---|--|----------------------|--|---|--------------------|------|---|--|
| (Last) (First) (Middle)<br>825 INDUSTRIAL ROAD, SUITE<br>500                            |  |                      | 4. Relationship of Reporting<br>Issuer<br>(Check all applicable)<br>Director | 10% O   | wner               | File | d (Month/Day/   | Date of Original<br>Year)<br>int/Group Filing            |
| (Street)<br>SAN<br>CARLOS CA 94070  | -  |                      | X Officer (give<br>title below)<br>General Counsel an                        | below)  |                    |      | eck Applicable<br>Form filed I<br>Person                | e Line)<br>by One Reporting<br>by More than One          |
| (City) (State) (Zip)  |  |                      |  |   |                    |      |   |  |
| Ti  | ible I - Nor   | n-Derivati           | ve Securities Benefic  | ially Ov                                      | vned               |      |   |  |
| 1. Title of Security (Instr. 4)   |  | I                    | 2. Amount of Securities<br>Beneficially Owned (Instr.<br>4)                  | 3. Owne<br>Form: D<br>(D) or In<br>(I) (Instr | irect<br>direct    |      | . Nature of Indirect Beneficial<br>Ownership (Instr. 5) |  |
| Common Stock  |  |                      | 93,144 <sup>(1)</sup>  | E   |                    |      |   |  |
| (e.g  |  |                      | e Securities Beneficial<br>nts, options, converti                            |   |                    | )    |   |  |
| 1. Title of Derivative Security (Instr. 4)  | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                      | 3. Title and Amount of Sec<br>Underlying Derivative Sec<br>(Instr. 4)        |   |                    | cise | 5.<br>Ownership<br>Form:                                | 6. Nature of<br>Indirect Beneficial<br>Ownership (Instr. |
|   | Date<br>Exercisable  | Expiration<br>Date   |  | Amount<br>or<br>Number<br>of<br>Shares        | Derivat<br>Securit | ive  | Direct (D)<br>or Indirect<br>(I) (Instr. 5)             | 5)   |
| Stock Option (Right to buy)   | (2)  | 12/17/2028           | Common Stock   | 60,000  | 56.6               | 66   | D   |  |
| Stock Option (Right to buy)   | (3)  | 04/15/2029           | Common Stock   | 19,060  | 37.                | 6    | D   |  |

Explanation of Responses:

1. Includes 89,885 shares represented by restricted stock units ("RSUs"). Each RSU represents the Reporting Person's right to receive one share of Common Stock of the Issuer subject to the applicable vesting schedule, provided that the Reporting Person continues to be a Service Provider through each vesting date.

2. The option was granted on December 17, 2018. The option vests as to one-fourth of the shares on December 10, 2019, and one forty-eighth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.

3. The option was granted on April 15, 2019. The option vests as to one-fourth of the shares on April 15, 2020, and one forty-eighth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.

## **Remarks:**

| /s/ Eric Schaefer |
|-------------------|
|-------------------|

05/04/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## POWER OF ATTORNEY

The undersigned, as a Section 16 reporting person of Allakos Inc. (the "Company"), hereby constitutes and appoints the Company's Chief Financial Officer and Chief Accountant, and each of them, as the undersigned's true and lawful attorney-in-fact to:

1. prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain EDGAR codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC;

2. complete and execute Forms 3, 4 and 5 and other forms and all amendments thereto as such attorney-in-fact shall in his or her discretion determine to be required or advisable pursuant to Section 16 of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and

3. do all acts necessary in order to file such forms with the SEC, any securities exchange or national association, the Company and such other person or agency as the attorneys-in-fact shall deem appropriate. The undersigned hereby ratifies and confirms all that said attorneys-in-fact and agents shall do or cause to be done by virtue hereof.

The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 (as amended).

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 25 day of April 2022.

By: /s/ Margaret Fitzgerald Name: Margaret Fitzgerald