SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

SCHEDULE 13D/A

(Amendment No. 2) Under the Securities Exchange Act of 1934

ALLAKOS INC.

(Name of Issuer)

Common Stock, par value \$0.001 per share (Title of Class of Securities)

> 01671P100 (CUSIP Number)

John P. McKearn 101 S. Hanley Road, Suite 1850 St. Louis, MO 63105 (314) 726-6700

With copy to:

Gloria M. Skigen, Esq. Holland & Knight LLP One Stamford Plaza 263 Tresser Boulevard Suite 1400 Stamford, CT 06901 (203) 905-4526 (Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

> January 4, 2021 (Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of \$ 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box:

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 01671P100

13D/A

| 1 | NAME OF REPORTING PERSON | | | | | | | | |
|----|---|--|--|--|--|--|--|--|--|
| 1 | I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | | | |
| | | | | | | | | | |
| | RiverVest Venture Fund II, L.P. | | | | | | | | |
| 2 | CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP | | | | | | | | |
| | (a) \Box (b) \Box | | | | | | | | |
| 3 | SEC USE ONLY | | | | | | | | |
| 5 | | | | | | | | | |
| 4 | SOURCE OF FUNDS | | | | | | | | |
| | | | | | | | | | |
| 5 | WC CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | | | | | |
| 3 | CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PORSUANT TO THEMS 2(d) OR 2(e) | | | | | | | | |
| | | | | | | | | | |
| 6 | CITIZENSHIP OR PLACE OF ORGANIZATION | | | | | | | | |
| | | | | | | | | | |
| | Delaware, USA 7 SOLE VOTING POWER | | | | | | | | |
| | 7 SOLE VOTING POWER | | | | | | | | |
| NU | JMBER OF 0 | | | | | | | | |
| | SHARES 8 SHARED VOTING POWER | | | | | | | | |
| | VEFICIALLY WNED BY | | | | | | | | |
| 0 | EACH 094,069 | | | | | | | | |
| | EACH 9 SOLE DISPOSITIVE POWER | | | | | | | | |
|] | PERSON 0 | | | | | | | | |
| | WITH 0 10 SHARED DISPOSITIVE POWER | | | | | | | | |
| | | | | | | | | | |
| | 694,669 | | | | | | | | |
| 11 | AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | | | | | |
| | 694,669 | | | | | | | | |
| 12 | CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| 13 | PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) | | | | | | | | |
| | 1.3%(1) | | | | | | | | |
| 14 | TYPE OF REPORTING PERSON | | | | | | | | |
| | | | | | | | | | |
| | PN | | | | | | | | |
| | PN | | | | | | | | |

(1) The percentage set forth in row (13) is based on the 52,503,346 shares of common stock, par value \$0.001 per share ("Common Stock"), of Allakos Inc., a Delaware corporation (the "Issuer"), reported by the Issuer to be outstanding as of November 5, 2020 on the Issuer's Form 10-Q, filed with the Securities and Exchange Commission ("SEC") on November 9, 2020.

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|------|---|----------------|---|--------------------|--|--|--|
| 1 | 1 NAME OF REPORTING PERSON I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | |
| | | | re Fund II (Ohio), L.P. | | | | |
| 2 | | не ал (b) Г | PPROPRIATE BOX IF A MEMBER OF A GROUP] | | | | |
| 3 | SEC USE | ONLY | , | | | | |
| 4 | SOURCE | OF FU | JNDS | | | | |
| | WC | | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | |
| | | | | | | | |
| 6 | | HIP (| DR PLACE OF ORGANIZATION | | | | |
| | Delaware, | USA | | | | | |
| | | 7 | SOLE VOTING POWER | | | | |
| NI | JMBER OF | | 0 | | | | |
| | SHARES | 8 | SHARED VOTING POWER | | | | |
| | NEFICIALLY | | | | | | |
| 0 | WNED BY EACH | | 188,685 | | | | |
| | EPORTING | 9 | SOLE DISPOSITIVE POWER | | | | |
| | PERSON WITH | | 0 | | | | |
| | W1111 | 10 | SHARED DISPOSITIVE POWER | | | | |
| | | | 188,685 | | | | |
| 11 | AGGREGA | ATE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | |
| | 100 | | | | | | |
| 12 | 188,685 CHECK IE | тиг | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | |
| 12 | CHECK IF | IUE | AGOREGATE ANIOUNT IN NOW (11) EACLODES CERTAIN SHARES | | | | |
| | | | | | | | |
| 13 | PERCENT | OF C | CLASS REPRESENTED BY AMOUNT IN ROW (11) | | | | |
| | 0.4%(1) | | | | | | |
| 14 | TYPE OF | REPO | RTING PERSON | | | | |
| | PN | | | | | | |
| | 1.11 | | | | | | |

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|-------|----------------------|----------------|---|--------------------|--|--|--|
| 1 | | | ORTING PERSON CATION NO. (ENTITIES ONLY) | | | | |
| | RiverVest V | Ventur | re Partners II (Ohio), LLC | | | | |
| 2 | | HE AI (b) □ | PPROPRIATE BOX IF A MEMBER OF A GROUP] | | | | |
| 3 | SEC USE | ONLY | , | | | | |
| 4 | SOURCE | OF FU | JNDS | | | | |
| | AF | | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | |
| | | | | | | | |
| 6 | CITIZENS | HIP C | OR PLACE OF ORGANIZATION | | | | |
| | Delaware, | USA | | | | | |
| | | 7 | SOLE VOTING POWER | | | | |
| NU | JMBER OF | | 0 | | | | |
| | SHARES JEFICIALLY | 8 | SHARED VOTING POWER | | | | |
| | WNED BY | | 188,685(2) | | | | |
| RE | EACH EPORTING | 9 | SOLE DISPOSITIVE POWER | | | | |
| 1 | PERSON | | 0 | | | | |
| | WITH | 10 | SHARED DISPOSITIVE POWER | | | | |
| | | | 188,685(2) | | | | |
| 11 | AGGREGA | ATE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | |
| | 188,685(2) | | | | | | |
| 12 | | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | |
| | | | | | | | |
| 13 | | OF C | CLASS REPRESENTED BY AMOUNT IN ROW (11) | | | | |
| | 0.4%(1) | | | | | | |
| 14 | | REPO | RTING PERSON | | | | |
| | 00 | | | | | | |
| | 00 | | | | | | |

(2) Represents 188,685 shares of Common Stock owned by RiverVest Venture Fund II (Ohio), L.P., a Delaware limited partnership ("RiverVest II (Ohio)"). RiverVest Venture Partners II (Ohio), LLC, a Delaware limited liability company ("RiverVest Partners II (Ohio)"), is the general partner of RiverVest II (Ohio).

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|------|--|-------|---|--------------------|--|--|--|
| 1 | NAME OF REPORTING PERSON I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) RiverVest Venture Partners II, L.P. | | | | | | |
| 2 | | | PPROPRIATE BOX IF A MEMBER OF A GROUP | | | | |
| 2 | | (b) □ | | | | | |
| 3 | SEC USE (| ONLY | · | | | | |
| 4 | SOURCE | OF FU | JNDS | | | | |
| | AF | | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | |
| | | | | | | | |
| 6 | CITIZENS | HIP C | OR PLACE OF ORGANIZATION | | | | |
| | Delaware, | USA | | | | | |
| | | 7 | SOLE VOTING POWER | | | | |
| NI | JMBER OF | | | | | | |
| | SHARES | 8 | 0 SHARED VOTING POWER | | | | |
| | VEFICIALLY | 0 | SHARED VOTING POWER | | | | |
| 0 | WNED BY | | 896,231(3) | | | | |
| RE | EACH EPORTING | 9 | SOLE DISPOSITIVE POWER | | | | |
| | PERSON | | | | | | |
| | WITH | 10 | | | | | |
| | | 10 | SHARED DISPOSITIVE POWER | | | | |
| | | | 896,231(3) | | | | |
| 11 | AGGREGA | ATE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | |
| | 00000000 | | | | | | |
| 12 | 896,231(3) | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | |
| 12 | UTEUN IF | INE | AGOREGATE ANIOUNT IN ROW (11) EACLUDES CERTAIN SHARES | | | | |
| | | | | | | | |
| 13 | PERCENT | OF C | LASS REPRESENTED BY AMOUNT IN ROW (11) | | | | |
| | 1.7%(1) | | | | | | |
| 14 | TYPE OF 1 | REPO | RTING PERSON | | | | |
| | PN | | | | | | |
| | | | | | | | |

(3) Represents 12,877 shares of Common Stock held directly, 694,669 shares of Common Stock owned by RiverVest Venture Fund II, L.P., a Delaware limited partnership ("RiverVest II"), and 188,685 shares of Common Stock owned by RiverVest II (Ohio). RiverVest Venture Partners II, L.P., a Delaware limited partnership ("RiverVest Partners II"), is the general partner of RiverVest II and the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio).

| CUSII | P No. 01671P | 100 | 13D/A | Page 6 of 26 Pages | | | | |
|-------|-----------------------|---|---|--------------------|--|--|--|--|
| 1 | | NAME OF REPORTING PERSON I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | |
| | | | e Partners II, LLC | | | | | |
| 2 | | HE Al (b) □ | PPROPRIATE BOX IF A MEMBER OF A GROUP] | | | | | |
| 3 | SEC USE (| ONLY | | | | | | |
| 4 | SOURCE (| OF FU | JNDS | | | | | |
| | AF | | | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | | |
| | | | | | | | | |
| 6 | CITIZENS | HIP C | DR PLACE OF ORGANIZATION | | | | | |
| | Delaware, | USA | | | | | | |
| | | 7 | SOLE VOTING POWER | | | | | |
| NU | JMBER OF | | 0 | | | | | |
| | SHARES | 8 | SHARED VOTING POWER | | | | | |
| | JEFICIALLY WNED BY | | | | | | | |
| 0 | EACH | 0 | 896,231(4) | | | | | |
| | EPORTING | 9 | SOLE DISPOSITIVE POWER | | | | | |
| | PERSON WITH | | 0 | | | | | |
| | W1111 | 10 | SHARED DISPOSITIVE POWER | | | | | |
| | | | 896,231(4) | | | | | |
| 11 | AGGREGA | ATE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | | |
| | | | | | | | | |
| 12 | 896,231(4) | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | | |
| 12 | UTEUK IF | THE | AOUREUATE AMOUNT IN ROW (11) EACLUDES CERTAIN SHARES | | | | | |
| | | | | | | | | |
| 13 | PERCENT | OF C | LASS REPRESENTED BY AMOUNT IN ROW (11) | | | | | |
| | 1.7%(1) | | | | | | | |
| 14 | | REPO | RTING PERSON | | | | | |
| | 00 | | | | | | | |
| | 00 | | | | | | | |

(4) Represents 12,877 shares of Common Stock owned by RiverVest Partners II, 694,669 shares of Common Stock owned by RiverVest II and 188,685 shares of Common Stock owned by RiverVest II (Ohio). RiverVest Venture Partners II, LLC, a Delaware limited liability company, is the general partner of RiverVest Partners II, which is (a) the general partner of RiverVest II and (b) the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio).

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|-------|------------------|---------------|---|--------------------|
| 1 | I.R.S. IDE | NTIFI | ORTING PERSON (CATION NO. (ENTITIES ONLY) | |
| 2 | | | re Fund III, L.P. PPROPRIATE BOX IF A MEMBER OF A GROUP | |
| 2 | | ιε Α (b) Γ | | |
| 3 | SEC USE | ONLY | , | |
| 4 | SOURCE | OF FU | JNDS | |
| | WC | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | |
| | | | | |
| 6 | CITIZENS | HIP (| OR PLACE OF ORGANIZATION | |
| | Delaware, | USA | | |
| | | 7 | SOLE VOTING POWER | |
| NI | UMBER OF | | | |
| | SHARES | 8 | 0 SHARED VOTING POWER | |
| | NEFICIALLY | 8 | SHARED VOTING POWER | |
| 0 | WNED BY | | 2,341,594 | |
| DI | EACH EPORTING | 9 | SOLE DISPOSITIVE POWER | |
| | PERSON | | | |
| | WITH | | 0 | |
| | | 10 | SHARED DISPOSITIVE POWER | |
| | | | 2,341,594 | |
| 11 | AGGREG | TE A | 2,541,394 MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | |
| | | | | |
| | 2,341,594(| | | |
| 12 | CHECK IF | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | |
| | | | | |
| 13 | PERCENT | OFC | CLASS REPRESENTED BY AMOUNT IN ROW (11) | |
| 15 | IERCENT | ort | $\frac{1}{100} \text{ KLi KLOLITLU DI KWOOTTIIN KOW (11)}$ | |
| | 4.5%(1) | | | |
| 14 | TYPE OF | REPO | RTING PERSON | |
| | PN | | | |

(5) Includes 1,139,537 shares of Common Stock that are pledged to secure a line of credit pursuant to a customary collateral agreement and promissory note. As of the date of this report, there is no outstanding balance on the line of credit.

| CUSIP N | No. 01671P | 100 | 13D/A | Page 8 of 26 Pages | | | |
|---------|---------------|-------|---|--------------------|--|--|--|
| | I.R.S. IDE | NTIFI | DRTING PERSON CATION NO. (ENTITIES ONLY) | | | | |
| 2 | CHECK T | | e Fund III (Ohio), L.P. PROPRIATE BOX IF A MEMBER OF A GROUP | | | | |
| | | (b) □ | | | | | |
| 3 | SEC USE (| ONLY | | | | | |
| 4 | SOURCE (| OF FU | INDS | | | | |
| | WC | | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | |
| | | | | | | | |
| 6 | CITIZENS | HIP C | OR PLACE OF ORGANIZATION | | | | |
| | Delaware, | USA | | | | | |
| | | 7 | SOLE VOTING POWER | | | | |
| NILIN | MBER OF | | | | | | |
| | HARES | | 0 | | | | |
| | EFICIALLY | 8 | SHARED VOTING POWER | | | | |
| | NED BY | | 124,275 | | | | |
| | EACH | 9 | SOLE DISPOSITIVE POWER | | | | |
| | PORTING | , | Sole Distostitive rowerk | | | | |
| | ERSON WITH | | 0 | | | | |
| | *** | 10 | SHARED DISPOSITIVE POWER | | | | |
| | | | | | | | |
| | | | 124,275 | | | | |
| 11 . | AGGREGA | ATE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | |
| | 124,275(6) | | | | | | |
| | | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | |
| | | | | | | | |
| | | | | | | | |
| 13 | PERCENT | OF C | LASS REPRESENTED BY AMOUNT IN ROW (11) | | | | |
| | 0.2%(1) | | | | | | |
| 14 | TYPE OF I | REPO | RTING PERSON | | | | |
| | PN | | | | | | |

(6) Includes 60,463 shares of Common Stock that are pledged to secure a line of credit pursuant to a customary collateral agreement and promissory note. As of the date of this report, there is no outstanding balance on the line of credit.

| CUSI | P No. 01671P | 100 | 13D/A | Page 9 of 26 Pages | | | | |
|------|----------------------|---|---|--------------------|--|--|--|--|
| 1 | | NAME OF REPORTING PERSON I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | |
| | | | re Partners III (Ohio), LLC | | | | | |
| 2 | | HE Al (b) □ | PPROPRIATE BOX IF A MEMBER OF A GROUP] | | | | | |
| 3 | SEC USE (| ONLY | | | | | | |
| 4 | SOURCE | OF FU | JNDS | | | | | |
| | AF | | | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | | |
| | | | | | | | | |
| 6 | CITIZENS | HIP C | OR PLACE OF ORGANIZATION | | | | | |
| | Delaware, | USA | | | | | | |
| | | 7 | SOLE VOTING POWER | | | | | |
| N | UMBER OF | | 0 | | | | | |
| | SHARES NEFICIALLY | 8 | SHARED VOTING POWER | | | | | |
| | WNED BY | | 124,275(7) | | | | | |
| R | EACH EPORTING | 9 | SOLE DISPOSITIVE POWER | | | | | |
| | PERSON | | | | | | | |
| | WITH | 10 | 0 SHARED DISPOSITIVE POWER | | | | | |
| | | | | | | | | |
| 11 | ACCREC | TE A | 124,275(7) MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | | |
| 11 | | IL A | MOOTH BEAERCIALET OWNED BT EACH REFORTING FERSON | | | | | |
| 10 | 124,275(7) | THE | A CORECUTE AN OUR TRIDOW (11) EVOLUDES CERTARY OF A PES | | | | | |
| 12 | CHECK IF | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | | |
| | | | | | | | | |
| 13 | PERCENT | OF C | CLASS REPRESENTED BY AMOUNT IN ROW (11) | | | | | |
| | 0.2%(1) | | | | | | | |
| 14 | TYPE OF I | REPO | RTING PERSON | | | | | |
| | 00 | | | | | | | |

(7) Represents 124,275 shares of Common Stock owned by RiverVest Venture Fund III (Ohio), L.P., a Delaware limited partnership ("RiverVest III (Ohio)"). RiverVest Venture Partners III (Ohio), LLC, a Delaware limited liability company ("RiverVest Partners III (Ohio)"), is the general partner of RiverVest III (Ohio).

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|-------|-----------------------|---------------|---|---------------------|
| 1 | | | ORTING PERSON ICATION NO. (ENTITIES ONLY) | |
| | | | re Partners III, L.P. | |
| 2 | | HE A (b) [| PPROPRIATE BOX IF A MEMBER OF A GROUP | |
| 3 | SEC USE | ONLY | | |
| 4 | SOURCE | OF FU | JNDS | |
| | AF | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | |
| | | | | |
| 6 | CITIZENS | HIP (| DR PLACE OF ORGANIZATION | |
| | Delaware, | USA | | |
| | | 7 | SOLE VOTING POWER | |
| NU | JMBER OF | | 0 | |
| | SHARES | 8 | SHARED VOTING POWER | |
| | NEFICIALLY WNED BY | | 2 400 721/0 | |
| | EACH | 9 | 2,498,721(8) SOLE DISPOSITIVE POWER | |
| | EPORTING PERSON | , | SOLE DISCOSITIVE FOWER | |
| | WITH | | 0 | |
| | | 10 | SHARED DISPOSITIVE POWER | |
| | | | 2,498,721(8) | |
| 11 | AGGREGA | ATE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | |
| | 2,498,721 | 3) | | |
| 12 | | | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | |
| | | | | |
| 12 | | OF (| CLASS REPRESENTED BY AMOUNT IN ROW (11) | |
| 13 | PEKCENI | OF C | LASS REFRESENTED BY AMOUNT IN KOW (11) | |
| | 4.8%(1) | | | |
| 14 | TYPE OF 1 | REPC | ORTING PERSON | |
| | PN | | | |
| L | L | | | |

(8) Represents 32,852 shares of Common Stock held directly, 2,341,594 shares of Common Stock owned by RiverVest Venture Fund III, L.P., a Delaware limited partnership ("RiverVest III"), and 124,275 shares of Common Stock owned by RiverVest III (Ohio). RiverVest Venture Partners III, L.P., a Delaware limited partnership ("RiverVest Partners III"), is the general partner of RiverVest III and the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio).

| CUSII | P No. 01671P | 100 | 13D/A | Page 11 of 26 Pages | | | |
|-------|-----------------------|---------------|---|---------------------|--|--|--|
| 1 | | | ORTING PERSON (CATION NO. (ENTITIES ONLY) | | | | |
| | | | re Partners III, LLC | | | | |
| 2 | | HE A (b) [| PPROPRIATE BOX IF A MEMBER OF A GROUP] | | | | |
| 3 | SEC USE | ONLY | | | | | |
| 4 | SOURCE | OF FU | JNDS | | | | |
| | AF | | | | | | |
| 5 | CHECK IF | DIS | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | |
| | | | | | | | |
| 6 | CITIZENS | HIP (| DR PLACE OF ORGANIZATION | | | | |
| | Delaware, | USA | | | | | |
| | | 7 | SOLE VOTING POWER | | | | |
| NI | JMBER OF | | 0 | | | | |
| : | SHARES | 8 | SHARED VOTING POWER | | | | |
| | NEFICIALLY WNED BY | | | | | | |
| 0 | EACH | | 2,498,721(9) | | | | |
| | EPORTING | 9 | SOLE DISPOSITIVE POWER | | | | |
| | PERSON WITH | | 0 | | | | |
| | WIIII | 10 | SHARED DISPOSITIVE POWER | | | | |
| | | | 2,498,721(9) | | | | |
| 11 | AGGREGA | ATE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | |
| | | | | | | | |
| 10 | 2,498,721(| | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | |
| 12 | CHECK IF | THE | AOOREDATE AMOUNT IN KOW (11) EACLUDES CERTAIN SHARES | | | | |
| | | | | | | | |
| 13 | PERCENT | OF C | CLASS REPRESENTED BY AMOUNT IN ROW (11) | | | | |
| | 4.8%(1) | | | | | | |
| 14 | TYPE OF 1 | REPC | RTING PERSON | | | | |
| | 00 | | | | | | |
| | 00 | | | | | | |

(9) Represents 32,852 shares of Common Stock owned by RiverVest Partners III, 2,341,594 shares of Common Stock owned by RiverVest III and 124,275 shares of Common Stock owned by RiverVest III (Ohio). RiverVest Venture Partners III, LLC, a Delaware limited liability company, is the general partner of RiverVest Partners III, which is (a) the general partner of RiverVest III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio).

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|-------|---|----------------|---|---------------------|--|--|--|
| 1 | I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | |
| | 3x5 River | | | | | | |
| 2 | | не ал (b) Г | PPROPRIATE BOX IF A MEMBER OF A GROUP] | | | | |
| 3 | SEC USE | ONLY | , | | | | |
| 4 | SOURCE | OF FU | JNDS | | | | |
| | WC | | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | |
| | | | | | | | |
| 6 | | HIP (| DR PLACE OF ORGANIZATION | | | | |
| | Delaware, | USA | | | | | |
| | | 7 | SOLE VOTING POWER | | | | |
| NI | JMBER OF | | 0 | | | | |
| | SHARES | 8 | SHARED VOTING POWER | | | | |
| | NEFICIALLY | | | | | | |
| 0 | WNED BY EACH | | 1,483,103 | | | | |
| RI | EPORTING | 9 | SOLE DISPOSITIVE POWER | | | | |
|] | PERSON | | 0 | | | | |
| | WITH | 10 | SHARED DISPOSITIVE POWER | | | | |
| | | | 1 402 102 | | | | |
| 11 | AGGREG | ATE A | 1,483,103 MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | |
| 11 | AGOREO/ | I D P | MOONT BEACHTOMIELT OWNED DI EACHTREFORTINGTERSON | | | | |
| | 1,483,103(| | | | | | |
| 12 | CHECK IF | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | |
| | | | | | | | |
| 13 | _ | OF C | CLASS REPRESENTED BY AMOUNT IN ROW (11) | | | | |
| | 2.8%(1) | | | | | | |
| 14 | | REPO | RTING PERSON | | | | |
| | | | | | | | |
| | PN | | | | | | |

(10) Includes 1,351,189 shares of Common Stock that are pledged to secure a line of credit pursuant to a customary collateral agreement and promissory note. As of the date of this report, there is no outstanding balance on the line of credit.

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|-------|---|----------------|---|---------------------|--|--|--|
| 1 | I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | |
| | | | ind II-B, L.P. | | | | |
| 2 | | ∃E AI (b) □ | PPROPRIATE BOX IF A MEMBER OF A GROUP] | | | | |
| 3 | SEC USE (| ONLY | | | | | |
| 4 | SOURCE (| OF FU | INDS | | | | |
| | WC | | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | |
| | | | | | | | |
| 6 | CITIZENS | HIP C | OR PLACE OF ORGANIZATION | | | | |
| | Delaware, U | JSA | | | | | |
| | | 7 | SOLE VOTING POWER | | | | |
| NUN | MBER OF | | 0 | | | | |
| | HARES | 8 | SHARED VOTING POWER | | | | |
| | EFICIALLY /NED BY | | 55 (0) | | | | |
| | EACH | 9 | 55,606 SOLE DISPOSITIVE POWER | | | | |
| | PORTING ERSON | - | | | | | |
| | WITH | 10 | 0 CHARED DICROCITIVE DOWER | | | | |
| | | 10 | SHARED DISPOSITIVE POWER | | | | |
| | | | 55,606 | | | | |
| 11 | AGGREGA | TE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | |
| | 55,606 | | | | | | |
| 12 | , | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | |
| | | | | | | | |
| 13 | | OF C | LASS REPRESENTED BY AMOUNT IN ROW (11) | | | | |
| | | - | | | | | |
| 14 | 0.1%(1) | 2EDU | RTING PERSON | | | | |
| 17 | TTE OF I | | KTING I EKDON | | | | |
| | PN | | | | | | |

| CUSIP | No. 01671P | 100 | 13D/A | Page 14 of 26 Pages | | |
|-------|----------------------|----------------|--|---------------------|--|--|
| | I.R.S. IDEN | ITIFI | ORTING PERSON CATION NO. (ENTITIES ONLY) | | | |
| | | | artners II, LLC | | | |
| | | IE AI (b) □ | PPROPRIATE BOX IF A MEMBER OF A GROUP] | | | |
| 3 | SEC USE (| ONLY | | | | |
| 4 | SOURCE (|)F FU | INDS | | | |
| | AF | | | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | |
| | | | | | | |
| 6 | CITIZENS | HIP C | OR PLACE OF ORGANIZATION | | | |
| | Delaware, | JSA | | | | |
| | | 7 | SOLE VOTING POWER | | | |
| NUN | NUMBER OF 0 | | | | | |
| | SHARES | | SHARED VOTING POWER | | | |
| | EFICIALLY 'NED BY | | | | | |
| | EACH | 0 | 1,538,709(11) | | | |
| | PORTING | 9 | SOLE DISPOSITIVE POWER | | | |
| | ERSON WITH | | 0 | | | |
| | | 10 | SHARED DISPOSITIVE POWER | | | |
| | | | 1,538,709(11) | | | |
| 11 | AGGREGA | TE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | |
| | 1,538,709(1 | 1) | | | | |
| | | | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | |
| | _ | | | | | |
| | PERCENT | OFC | LASS REPRESENTED BY AMOUNT IN ROW (11) | | | |
| 1.5 | TERCENT | ore | $E_{ABB} \times E_{ABB} \times E_{A$ | | | |
| | 2.9%(1) | | | | | |
| 14 | TYPE OF I | REPO | RTING PERSON | | | |
| | 00 | | | | | |
| | | | | | | |

(11) Represents 1,483,103 shares of Common Stock owned by 3x5 RiverVest Fund II, L.P., a Delaware limited partnership ("3x5 RiverVest II"), and 55,606 shares of Common Stock owned by 3x5 RiverVest Fund II-B, L.P., a Delaware limited partnership ("3x5 RiverVest II-B"). 3x5 RiverVest Partners II, LLC, a Delaware limited liability company ("3x5 RiverVest Partners"), is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B.

| CUSIP N | o. 01671P | 100 | 13D/A | Page 15 of 26 Pages | | | | |
|---------|---|----------------|--|---------------------|--|--|--|--|
| | NAME OF REPORTING PERSON I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | | |
| | | | anagers II, L.P. | | | | | |
| | | IE AF (b) □ | PPROPRIATE BOX IF A MEMBER OF A GROUP | | | | | |
| 3 S | SEC USE C | ONLY | | | | | | |
| 4 S | SOURCE C | OF FU | NDS | | | | | |
| А | ٩F | | | | | | | |
| 5 C | CHECK IF | DISC | LOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | | |
| | | | | | | | | |
| 6 C | CITIZENSI | HIP O | R PLACE OF ORGANIZATION | | | | | |
| Ľ | Delaware, U | JSA | | | | | | |
| | | 7 | SOLE VOTING POWER | | | | | |
| | NUMBER OF 0 | | | | | | | |
| | ARES FICIALLY | 8 | SHARED VOTING POWER | | | | | |
| | NED BY ACH | | 1,540,474(12) | | | | | |
| REPC | ORTING | 9 | SOLE DISPOSITIVE POWER | | | | | |
| | RSON /ITH | | 0 | | | | | |
| | | 10 | SHARED DISPOSITIVE POWER | | | | | |
| | | | 1,540,474(12) | | | | | |
| 11 A | AGGREGA | TE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | | |
| | 1,540,474(1 | | | | | | | |
| 12 C | CHECK IF | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | | |
| | | | | | | | | |
| 13 P | PERCENT | OF C | LASS REPRESENTED BY AMOUNT IN ROW (11) | | | | | |
| 2 | 2.9%(1) | | | | | | | |
| 14 T | FYPE OF F | REPO | RTING PERSON | | | | | |
| Р | PN | | | | | | | |

(12) Represents 1,765 shares of Common Stock held directly, 1,483,103 shares of Common Stock owned by 3x5 RiverVest II, and 55,606 shares of Common Stock owned by 3x5 RiverVest II-B. RiverVest 3x5 Managers II, L.P., a Delaware limited partnership ("RiverVest 3x5 Managers"), is one of two members of 3x5 RiverVest Partners, which is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B.

| CUSII | P No. 01671P | 100 | 13D/A | Page 16 of 26 Pages | | | | | |
|-------|----------------------|---|--|---------------------|--|--|--|--|--|
| 1 | | NAME OF REPORTING PERSON I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | | |
| | | | nagers II, LLC | | | | | | |
| 2 | | CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) (b) (c) | | | | | | | |
| 3 | SEC USE (| ONLY | | | | | | | |
| 4 | SOURCE (| OF FUN | NDS | | | | | | |
| | AF | | | | | | | | |
| 5 | | DISCI | LOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | | | |
| | | | | | | | | | |
| 6 | CITIZENS | HIP OF | R PLACE OF ORGANIZATION | | | | | | |
| | Delaware, | USA | | | | | | | |
| | | 7 | SOLE VOTING POWER | | | | | | |
| | JMBER OF | | 0 | | | | | | |
| | SHARES NEFICIALLY | 8 | SHARED VOTING POWER | | | | | | |
| | WNED BY | | 1,540,474(13) | | | | | | |
| RI | EACH EPORTING | 9 | SOLE DISPOSITIVE POWER | | | | | | |
| | PERSON WITH | | 0 | | | | | | |
| | WIII | 10 | SHARED DISPOSITIVE POWER | | | | | | |
| | | | 1,540,474(13) | | | | | | |
| 11 | AGGREGA | ATE AN | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | | | |
| | 1,540,474 | 13) | | | | | | | |
| 12 | | | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | | | |
| | | | | | | | | | |
| 13 | | OF CL | ASS REPRESENTED BY AMOUNT IN ROW (11) | | | | | | |
| | 2.9%(1) | | | | | | | | |
| 14 | | REPOR | TING PERSON | | | | | | |
| | 00 | | | | | | | | |
| | ~~ | | | | | | | | |

(13) Represents 1,765 shares of Common Stock owned by RiverVest 3x5 Managers, 1,483,103 shares of Common Stock owned by 3x5 RiverVest II, and 55,606 shares of Common Stock owned by 3x5 RiverVest II-B. RiverVest 3x5 Managers II, LLC, a Delaware limited liability company ("RiverVest 3x5 Managers II"), is the general partner of RiverVest 3x5 Managers, which is one of two members of 3x5 RiverVest Partners. 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B.

| CUSII | P No. 01671P | 100 | 13D/A | Page 17 of 26 Pages |
|-------|-----------------------|------------|---|---------------------|
| 1 | I.R.S. IDEN | JTIFI | ORTING PERSON CATION NO. (ENTITIES ONLY) | |
| | John P. Mc | | | |
| 2 | | (b) \Box | PPROPRIATE BOX IF A MEMBER OF A GROUP] | |
| 3 | SEC USE (| ONLY | | |
| 4 | SOURCE (|)F FU | INDS | |
| | AF | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | |
| | | | | |
| 6 | CITIZENS | HIP C | OR PLACE OF ORGANIZATION | |
| | USA | | | |
| | | 7 | SOLE VOTING POWER | |
| NI | UMBER OF | | 50,045(14) | |
| | SHARES | 8 | SHARED VOTING POWER | |
| | NEFICIALLY WNED BY | | | |
| 0 | EACH | 0 | 4,935,426(15) | |
| | EPORTING | 9 | SOLE DISPOSITIVE POWER | |
| | PERSON WITH | | 50,045(14) | |
| | | 10 | SHARED DISPOSITIVE POWER | |
| | | | 4,935,426(15) | |
| 11 | AGGREGA | TE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | |
| | 4,985,471 | | | |
| 12 | | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | |
| | | | | |
| 13 | D PERCENT | OFC | LASS REPRESENTED BY AMOUNT IN ROW (11) | |
| 15 | TERCENT | ore | LASS REFRESENTED DT AMOUNT IN ROW (11) | |
| | 9.5%(1) | | | |
| 14 | TYPE OF I | REPO | RTING PERSON | |
| | IN | | | |
| | 1 | | | |

(14) In July 2018, the Issuer granted Dr. McKearn, as a non-employee director of the Issuer, an option to purchase 37,600 shares of Common Stock at a per share exercise price equal to \$16.00. The option vests as to 1/36th of the shares subject to the option each month following July 2018, subject to continued service through each applicable vesting date. In June 2019, the Issuer granted Dr. McKearn an additional 16,000 options that have fully vested. In May 2020, the Issuer granted Dr. McKearn an additional 7,700 options that have not vested. Dr. McKearn's options have vested, or will vest within sixty (60) days of the date of this filing, with respect to 49,422 shares of Common Stock.

Also includes 623 shares of Common Stock owned by John McKearn, TOD John and Cassandra McKearn, Trustees of the John P. McKearn Trust dated July 18, 2005, as amended.

(15) Represents 694,669 shares of Common Stock owned by RiverVest II, 188,685 shares of Common Stock owned by RiverVest II (Ohio), 12,877 shares of Common Stock owned by RiverVest Partners II, 2,341,594 shares of Common Stock owned by RiverVest III, 124,275 shares of Common Stock owned by RiverVest III (Ohio), 32,852 shares of Common Stock owned by RiverVest Partners III, 1,483,103 shares of Common Stock owned by 3x5 RiverVest II, 55,606 shares of Common Stock owned by 3x5 RiverVest II-B and 1,765 shares of Common Stock owned by RiverVest 3x5 Managers.

Dr. McKearn is an authorized person of RiverVest Venture Partners II, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners II. RiverVest Partners II is (a) the general partner of RiverVest II and (b) the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio).

Dr. McKearn is a member of RiverVest Venture Partners III, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio).

Dr. McKearn is a member of RiverVest 3x5 Managers II, the general partner of RiverVest 3x5 Managers, which in turn is one of two members of 3x5 RiverVest Partners. 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B.

| CUSIP No | o. 01671P | 00 | 13D/A | Page 18 of 26 Pages | | | | |
|----------|---|-------|---|---------------------|--|--|--|--|
| | NAME OF REPORTING PERSON I.R.S. IDENTIFICATION NO. (ENTITIES ONLY) | | | | | | | |
| Ja | ay Schmel | ter | | | | | | |
| | CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) □ (b) □ | | | | | | | |
| 3 S | SEC USE C | NLY | · | | | | | |
| 4 S | SOURCE C | F FU | JNDS | | | | | |
| А | ٨F | | | | | | | |
| | | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | | | | | |
| | | | | | | | | |
| 6 C | CITIZENSI | HIP C | DR PLACE OF ORGANIZATION | | | | | |
| U | JSA | | | | | | | |
| I | | 7 | SOLE VOTING POWER | | | | | |
| | BER OF | | 1,868(16) | | | | | |
| | ARES FICIALLY | 8 | SHARED VOTING POWER | | | | | |
| OWN | NED BY | | 4,935,426(17) | | | | | |
| REPO | ACH DRTING | 9 | SOLE DISPOSITIVE POWER | | | | | |
| | RSON /ITH | | 1,868(16) | | | | | |
| | | 10 | SHARED DISPOSITIVE POWER | | | | | |
| | | | 4,935,426(17) | | | | | |
| 11 A | AGGREGA | TE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | | | |
| | 1,937,294 | | | | | | | |
| 12 C | CHECK IF | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | | | | | |
| | | | | | | | | |
| 13 P | PERCENT | OF C | CLASS REPRESENTED BY AMOUNT IN ROW (11) | | | | | |
| | 9.4%(1) | | | | | | | |
| 14 T | FYPE OF F | EPO | RTING PERSON | | | | | |
| Π | N | | | | | | | |

(16) Represents shares of Common Stock owned by RiverVest Venture Management LLC Profit Sharing Plan FBO Jay W. Schmelter.

(17) Represents 694,669 shares of Common Stock owned by RiverVest II, 188,685 shares of Common Stock owned by RiverVest II (Ohio), 12,877 shares of Common Stock owned by RiverVest Partners II, 2,341,594 shares of Common Stock owned by RiverVest III, 124,275 shares of Common Stock owned by RiverVest III (Ohio), 32,852 shares of Common Stock owned by RiverVest Partners III, 1,483,103 shares of Common Stock owned by 3x5 RiverVest II, 55,606 shares of Common Stock owned by 3x5 RiverVest II-B and 1,765 shares of Common Stock owned by RiverVest 3x5 Managers.

Mr. Schmelter is a member of RiverVest Venture Partners II, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners II. RiverVest Partners II is (a) the general partner of RiverVest II and (b) the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio).

Mr. Schmelter is a member of RiverVest Venture Partners III, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners III. RiverVest Partners III is (a) the general partner of RiverVest III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio).

Mr. Schmelter is a member of RiverVest 3x5 Managers II, the general partner of RiverVest 3x5 Managers, which in turn is one of two members of 3x5 RiverVest Partners. 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B.

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|-----|-----------------------|----------------|---|---------------------|
| 1 | I.R.S. IDEN | VTIFI | DRTING PERSON CATION NO. (ENTITIES ONLY) | |
| | Thomas C. | | | |
| 2 | | 1E AI (b) □ | PPROPRIATE BOX IF A MEMBER OF A GROUP] | |
| 3 | SEC USE (| ONLY | | |
| 4 | SOURCE (| OF FU | NDS | |
| | AF | | | |
| 5 | CHECK IF | DISC | CLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) | |
| | | | | |
| 6 | CITIZENS | HIP C | PR PLACE OF ORGANIZATION | |
| | USA | | | |
| | | 7 | SOLE VOTING POWER | |
| NU | MBER OF | | 6,900(18) | |
| | SHARES | 8 | SHARED VOTING POWER | |
| | IEFICIALLY WNED BY | | | |
| | EACH | 9 | 4,935,426(19) SOLE DISPOSITIVE POWER | |
| | PORTING PERSON | 9 | SOLE DISPOSITIVE FOWER | |
| F | WITH | | 6,900(18) | |
| | | 10 | SHARED DISPOSITIVE POWER | |
| | | | 4,935,426(19) | |
| 11 | AGGREGA | TE A | MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | |
| | 4,942,326 | | | |
| 12 | | THE | AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES | |
| | | | | |
| 13 | PERCENT | OF C | LASS REPRESENTED BY AMOUNT IN ROW (11) | |
| 10 | | | | |
| 1.4 | 9.4%(1) | | RTING REDGON | |
| 14 | TYPE OF I | KEPO | RTING PERSON | |
| | IN | | | |

(18) Includes 3,450 shares of Common Stock owned by the Thomas C. Melzer Revocable Trust dtd 4/4/2002 and 3,450 shares of Common Stock owned by Melzer Family Trust dated 11/9/2006.

(19) Represents 694,669 shares of Common Stock owned by RiverVest II, 188,685 shares of Common Stock owned by RiverVest II (Ohio), 12,877 shares of Common Stock owned by RiverVest Partners II, 2,341,594 shares of Common Stock owned by RiverVest III, 124,275 shares of Common Stock owned by RiverVest III (Ohio), 32,852 shares of Common Stock owned by RiverVest Partners III, 1,483,103 shares of Common Stock owned by 3x5 RiverVest II, 55,606 shares of Common Stock owned by 3x5 RiverVest II-B and 1,765 shares of Common Stock owned by RiverVest 3x5 Managers.

Mr. Melzer is a member of RiverVest Venture Partners II, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners II. RiverVest Partners II is (a) the general partner of RiverVest II and (b) the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio).

Mr. Melzer is a member of RiverVest Venture Partners III, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners III is (a) the general partner of RiverVest III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio).

Mr. Melzer is a member of RiverVest 3x5 Managers II, the general partner of RiverVest 3x5 Managers, which in turn is one of two members of 3x5 RiverVest Partners. 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B.

13D/A

Schedule 13D/A

Pursuant to Rule 13d-2 of Regulation 13D-G of the General Rules and Regulations under the Securities Exchange Act of 1934, as amended, the undersigned hereby amends the statement on Schedule 13D dated September 10, 2018, as amended on May 26, 2020 (the "Schedule 13D"). This Statement constitutes Amendment No. 2 to the Schedule 13D. Unless otherwise indicated herein, there are no material changes to the information set forth in the Schedule 13D.

Except as otherwise provided herein, each Item of the Schedule 13D remains unchanged.

Item 1. Security and Issuer.

No change.

Item 2. Identity and Background.

No change.

Item 3. Source and Amount of Funds or Other Consideration.

On January 4, 2021, RiverVest II, RiverVest II (Ohio), RiverVest III, RiverVest III (Ohio), 3x5 RiverVest II, and 3x5 RiverVest II-B distributed a total of 862,574 shares of Common Stock in kind, without consideration, to its limited partners and general partners.

As a result of the foregoing distributions, the individual Reporting Persons received shares of Common Stock as set forth below:

| Reporting Person | Number of Shares |
|------------------------------------|------------------|
| RiverVest Venture Partners II, LP | 12,877 |
| RiverVest Venture Partners III, LP | 32,852 |
| RiverVest 3x5 Managers II, LP | 1,765 |
| John P. McKearn, Ph.D. | 623 |
| Jay Schmelter | 1,868 |
| Thomas C. Melzer | 6,900 |

Item 4. Purpose of the Transaction.

No change.

CUSIP No. 01671P100

13D/A

Item 5. Interest in Securities of the Issuer.

(a) and (b)

| <u>Entity or Individual</u> | Shares Held Directly | Sole Voting Power | Shared Voting Power | Sole Dispositive Power | Shared Dispositive Power | Beneficial Ownership | Percentage of Class(*) |
|---|----------------------------|-------------------------|---------------------------|------------------------------|--------------------------------|-------------------------|---------------------------|
| RiverVest Venture Fund II, L.P. | 694,669 | 0 | 694,669 | 0 | 694,669 | 694,669 | 1.3% |
| RiverVest Venture Fund II (Ohio), L.P. | 188,685 | 0 | 188,685 | 0 | 188,685 | 188,685 | 0.4% |
| RiverVest Venture Partners II (Ohio), LLC(1) | 0 | 0 | 188,685 | 0 | 188,685 | 188,685 | 0.4% |
| RiverVest Venture Partners II, L.P.(2) | 12,877 | 0 | 896,231 | 0 | 896,231 | 896,231 | 1.7% |
| RiverVest Venture Partners II, LLC ⁽³⁾ | 0 | 0 | 896,231 | 0 | 896,231 | 896,231 | 1.7% |
| RiverVest Venture Fund III, L.P. | 2,341,594 | 0 | 2,341,594 | 0 | 2,341,594 | 2,341,594 | 4.5% |
| RiverVest Venture Fund III (Ohio), L.P. | 124,275 | 0 | 124,275 | 0 | 124,275 | 124,275 | 0.2% |
| RiverVest Venture Partners III (Ohio), LLC ⁽⁴⁾ | 0 | 0 | 124,275 | 0 | 124,275 | 124,275 | 0.2% |
| RiverVest Venture Partners III, L.P.(5) | 32,852 | 0 | 2,498,721 | 0 | 2,498,721 | 2,498,721 | 4.8% |
| RiverVest Venture Partners III, LLC(6) | 0 | 0 | 2,498,721 | 0 | 2,498,721 | 2,498,721 | 4.8% |
| 3x5 RiverVest Fund II, L.P. | 1,483,103 | 0 | 1,483,103 | 0 | 1,483,103 | 1,483,103 | 2.8% |
| 3x5 RiverVest Fund II-B, L.P. | 55,606 | 0 | 55,606 | 0 | 55,606 | 55,606 | 0.1% |
| 3x5 RiverVest Partners II, LLC(7) | 0 | 0 | 1,538,709 | 0 | 1,538,709 | 1,538,709 | 2.9% |
| RiverVest 3x5 Managers II, L.P.(8) | 1,765 | 0 | 1,540,474 | 0 | 1,540,474 | 1,540,474 | 2.9% |
| RiverVest 3x5 Managers II, LLC ⁽⁹⁾ | 0 | 0 | 1,540,474 | 0 | 1,540,474 | 1,540,474 | 2.9% |
| John P. McKearn, Ph.D.(10) | 50,045 | 50,045 | 4,935,426 | 50,045 | 4,935,426 | 4,985,471 | 9.5% |
| Jay Schmelter(11) | 1,868 | 1,868 | 4,935,426 | 1,868 | 4,935,426 | 4,937,294 | 9.4% |
| Thomas C. Melzer(12) | 6,900 | 6,900 | 4,935,426 | 6,900 | 4,935,426 | 4,942,326 | 9.4% |

- (*) Based on the 52,503,346 shares of Common Stock reported by the Issuer to be outstanding as of November 5, 2020 on the Issuer's Form 10-Q, filed with the SEC on November 9, 2020.
- (1) RiverVest Partners II (Ohio), as the general partner of RiverVest II (Ohio), may be deemed to beneficially own the 188,685 shares of Common Stock owned by RiverVest II (Ohio).
- (2) RiverVest Partners II is the general partner of RiverVest II and the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio), and as a result, may be deemed to beneficially own the 694,669 shares of Common Stock owned by RiverVest II and the 188,685 shares of Common Stock owned by RiverVest II (Ohio).
- (3) RiverVest Venture Partners II, LLC, a Delaware limited liability company, is the general partner of RiverVest Partners II, which is (a) the general partner of RiverVest II and (b) the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio), and as a result, may be deemed to beneficially own the 12,877 shares of Common Stock owned by RiverVest Partners II, the 694,669 shares of Common Stock owned by RiverVest II (Ohio).
- (4) RiverVest Partners III (Ohio) is the general partner of RiverVest III (Ohio), and as a result, may be deemed to beneficially own the 124,275 shares of Common Stock owned by RiverVest III (Ohio).
- (5) RiverVest Partners III is the general partner of RiverVest III and the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio), and as a result, may be deemed to beneficially own the 2,341,594 shares of Common Stock owned by RiverVest III and the 124,275 shares of Common Stock owned by RiverVest III (Ohio).
- (6) RiverVest Venture Partners III, LLC, a Delaware limited liability company, is the general partner of RiverVest Partners III, which is (a) the general partner of RiverVest III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio), and as a result, may be deemed to beneficially own the 32,852 shares of Common Stock owned by RiverVest Partners III, the 2,341,594 shares of Common Stock owned by RiverVest III (Ohio).
- (7) 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B, and as a result, may be deemed to beneficially own the 1,483,103 shares of Common Stock owned by 3x5 RiverVest II and the 55,606 shares of Common Stock owned by 3x5 RiverVest II-B.
- (8) RiverVest 3x5 Managers is one of two members of 3x5 RiverVest Partners, which is the general partner of 3x5 RiverVest II and 3x5 RiverVest II and 3x5 RiverVest II-B, and as a result, may be deemed to beneficially own the 1,483,103 shares of Common Stock owned by 3x5 RiverVest II and the 55,606 shares of Common Stock owned by 3x5 RiverVest II-B.
- (9) RiverVest 3x5 Managers II is the general partner of RiverVest 3x5 Managers, which is one of two members of 3x5 RiverVest Partners. 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B. As a result, RiverVest 3x5 Managers II may be deemed to beneficially own the 1,765 shares of Common Stock owned by RiverVest 3x5 Managers, the 1,483,103 shares of Common Stock owned by 3x5 RiverVest II and the 55,606 shares of Common Stock owned by 3x5 RiverVest II-B.
- (10) Dr. McKearn is an authorized person of RiverVest Venture Partners II, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners II. RiverVest Partners II is (a) the general partner of RiverVest II and (b) the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio). As a result, Dr. McKearn may be deemed to beneficially own the 694,669 shares of Common Stock owned by RiverVest II, the 188,685 shares of Common Stock owned by RiverVest II (Ohio) and the 12,877 shares of Common Stock owned by RiverVest Partners II.

Dr. McKearn is a member of RiverVest Venture Partners III, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners III. RiverVest Partners III is (a) the general partner of RiverVest III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio). As a result, Dr. McKearn may be deemed to beneficially own the 2,341,594 shares of Common Stock owned by RiverVest III, the 124,275 shares of Common Stock owned by RiverVest III (Ohio) and the 32,852 shares of Common Stock owned by RiverVest Partners III.

Dr. McKearn is a member of RiverVest 3x5 Managers II, the general partner of RiverVest 3x5 Managers, which in turn is one of two members of 3x5 RiverVest Partners. 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B. As a result, Dr. McKearn may be deemed to beneficially own the 1,483,103 shares of Common Stock owned by 3x5 RiverVest II, the 55,606 shares of Common Stock owned by 3x5 RiverVest II-B and the 1,765 shares of Common Stock owned by RiverVest 3x5 Managers.

In addition, in July 2018, the Issuer granted Dr. McKearn, as a non-employee director of the Issuer, an option to purchase 37,600 shares of Common Stock at a per share exercise price equal to \$16.00. The option vests as to 1/36th of the shares subject to the option each month following July 2018, subject to continued service through each applicable vesting date. In June 2019, the Issuer granted Dr. McKearn an additional 16,000 options that have fully vested. In May 2020, the Issuer granted Dr. McKearn an additional 7,700 options that have not vested. Dr. McKearn's options have vested, or will vest within sixty (60) days of the date of this filing, with respect to 49,422 shares of Common Stock.

(11) Mr. Schmelter is a member of RiverVest Venture Partners II, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners II. RiverVest Partners II is (a) the general partner of RiverVest II and (b) the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio). As a result, Mr. Schmelter may be deemed to beneficially own the 694,669 shares of Common Stock owned by RiverVest II, the 188,685 shares of Common Stock owned by RiverVest II (Ohio) and the 12,877 shares of Common Stock owned by RiverVest Partners II.

Mr. Schmelter is a member of RiverVest Venture Partners III, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners III is (a) the general partner of RiverVest III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio). As a result, Mr. Schmelter may be deemed to beneficially own the 2,341,594 shares of Common Stock owned by RiverVest III, the 124,275 shares of Common Stock owned by RiverVest III (Ohio) and the 32,852 shares of Common Stock owned by RiverVest Partners III.

Mr. Schmelter is a member of RiverVest 3x5 Managers II, the general partner of RiverVest 3x5 Managers, which in turn is one of two members of 3x5 RiverVest Partners. 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B. As a result, Mr. Schmelter may be deemed to beneficially own the 1,483,103 shares of Common Stock owned by 3x5 RiverVest II, the 55,606 shares of Common Stock owned by 3x5 RiverVest II-B and the 1,765 shares of Common Stock owned by RiverVest 3x5 Managers.

(12) Mr. Melzer is a member of RiverVest Venture Partners II, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners II. RiverVest Partners II is (a) the general partner of RiverVest II and (b) the sole member of RiverVest Partners II (Ohio), which is the general partner of RiverVest II (Ohio). As a result, Mr. Melzer may be deemed to beneficially own the 694,669 shares of Common Stock owned by RiverVest II, the 188,685 shares of Common Stock owned by RiverVest II (Ohio) and the 12,877 shares of Common Stock owned by RiverVest II.

Mr. Melzer is a member of RiverVest Venture Partners III, LLC, a Delaware limited liability company, which is the general partner of RiverVest Partners III is (a) the general partner of RiverVest III and (b) the sole member of RiverVest Partners III (Ohio), which is the general partner of RiverVest III (Ohio). As a result, Mr. Melzer may be deemed to beneficially own the 2,341,594 shares of Common Stock owned by RiverVest III, the 124,275 shares of Common Stock owned by RiverVest III (Ohio) and the 32,852 shares of Common Stock owned by RiverVest Partners III.

Mr. Melzer is a member of RiverVest 3x5 Managers II, the general partner of RiverVest 3x5 Managers, which in turn is one of two members of 3x5 RiverVest Partners. 3x5 RiverVest Partners is the general partner of 3x5 RiverVest II and 3x5 RiverVest II-B. As a result, Mr. Melzer may be deemed to beneficially own the 1,483,103 shares of Common Stock owned by 3x5 RiverVest II, the 55,606 shares of Common Stock owned by 3x5 RiverVest II-B and the 1,765 shares of Common Stock owned by RiverVest 3x5 Managers.

By virtue of the relationships described herein, the Reporting Persons may be deemed to constitute a "group" for purposes of Section 13(d) (3) of the Act. The filing of this Statement shall not be construed as an admission that the Reporting Persons are a group, or have agreed to act as a group. Each Reporting Person expressly disclaims beneficial ownership of the securities reported herein, except to the extent such Reporting Person actually exercises voting or dispositive power with respect to such securities.

(c) Except as set forth herein, none of the Reporting Persons has effected any transactions in the shares of the Issuer's Common Stock during the last 60 days.

(d) No change.

(e) No change.

13D/A

CUSIP No. 01671P100

13D/A

Item 6. Contracts, Arrangements, Understandings or Relationships With Respect to Securities of the Issuer.

No change.

Item 7. Material to be Filed as Exhibits.

Exhibit A: Joint Filing Agreement, dated September 10, 2018, by and among the Reporting Persons.*

* Previously filed.

SIGNATURES

13D/A

After reasonable inquiry and to the best of our knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

January 8, 2021

RiverVest Venture Fund II, L.P.

- By: RiverVest Venture Partners II, L.P., its general partner
- By: RiverVest Venture Partners II, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Authorized Person

RiverVest Venture Partners II (Ohio), LLC

- By: RiverVest Venture Partners II, L.P., its sole member
- By: RiverVest Venture Partners II, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Authorized Person

RiverVest Venture Partners II, LLC

By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Authorized Person

RiverVest Venture Fund III (Ohio), L.P.

- By: RiverVest Venture Partners III (Ohio), LLC, its general partner
- By: RiverVest Venture Partners III, L.P., its sole member
- By: RiverVest Venture Partners III, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

RiverVest Venture Fund II (Ohio), L.P.

- By: RiverVest Venture Partners II (Ohio), LLC, its general partner
- By: RiverVest Venture Partners II, L.P., its sole member
- By: RiverVest Venture Partners II, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Authorized Person

RiverVest Venture Partners II L.P.

- By: RiverVest Venture Partners II, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Authorized Person

RiverVest Venture Fund III, L.P.

- By: RiverVest Venture Partners III, L.P., its general partner
- By: RiverVest Venture Partners III, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

RiverVest Venture Partners III (Ohio), LLC

- By: RiverVest Venture Partners III, L.P., its sole member
- By: RiverVest Venture Partners III, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

[Signature Page of Schedule 13D/A]

RiverVest Venture Partners III, L.P.

- RiverVest Venture Partners III, LLC, By: its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

3x5 RiverVest Fund II, L.P.

- 3x5 RiverVest Partners II, LLC, By: its general partner
- RiverVest 3x5 Managers II, L.P., By: its member
- By: RiverVest 3x5 Managers II, LLC, its general partner
- /s/ John P. McKearn By: Name: John P. McKearn, Ph.D. Title: Member

3x5 RiverVest Partners II, LLC

- RiverVest 3x5 Managers II, L.P., By: its member
- By: RiverVest 3x5 Managers II, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

RiverVest 3x5 Managers II, LLC

By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

/s/ Jay Schmelter

Jay Schmelter

RiverVest Venture Partners III, LLC

By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

3x5 RiverVest Fund II-B, L.P.

- By: 3x5 RiverVest Partners II, LLC, its general partner
- By: RiverVest 3x5 Managers II, L.P., its member
- By: RiverVest 3x5 Managers II, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

RiverVest 3x5 Managers II, L.P.

- By: RiverVest 3x5 Managers II, LLC, its general partner
- By: /s/ John P. McKearn Name: John P. McKearn, Ph.D. Title: Member

/s/ John P. McKearn John P. McKearn, Ph.D.

/s/ Thomas C. Melzer

Thomas C. Melzer

[Signature Page of Schedule 13D/A]